TYCHO ICAV

Application Form for Tycho CapeView European Long Short Fund

This Application Form, which acts as an account opening form, should be read in context of and together with the latest Prospectus & Supplement(s) of the ICAV (collectively referred to as the "Prospectus"), and save where otherwise defined in this Application Form, all capitalised terms shall have the same meaning as in the Prospectus. Please complete all the following sections as indicated.

Please note that separate forms are required for subsequent (repeat) subscriptions and redemptions of Shares.

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IMPORTANT: You will need to contact the Administrator before completing this form if you are an Irish resident who is tax-exempt. You will need to request the specific declaration form.

This Application Form constitutes your agreement to subscribe for Shares in Tycho CapeView European Long Short Fund (the "Sub-Fund"). Once fully completed and signed, this Application Form should be sent to the following address and/or facsimile number, and **if sent by facsimile the original must follow by post**.

Application Forms must be received by the Administrator by 11 am (Irish time) one business day preceding the relevant Dealing Day.

Tycho ICAV
Attention: TA Department
c/o Société Générale Securities Services, SGSS (Ireland) Limited
3rd Floor, IFSC House
IFSC
Dublin 1, Ireland

T: 00353 1 6750 300
F: 00353 1 6750 351
E: Service.Ta-Dublin@sgss.socgen.com

TYCHO ICAV
Application Form for Tycho CapeView European Long Short Fund

1. Registration Details

Please complete in full

Full Name of Applicant:

Registered Office Address		Mailing Address (if different)	
Telephone Number:		Telephone Number:	
Fax Number:		Fax Number:	
Contact Name:		Contact Name:	
E-mail Address:		E-mail Address:	

Details of Authorised Contactee/Agent*

By ticking the box opposite and signing this Application Form, I hereby authorise the Administrator to transfer to the authorised contactee/agent copies of contract notes, statements, investor reports and other documentation that may contain my personal details and/or information in relation to my investment in the Sub-Fund. ☐

*If you do not wish to appoint an authorised contactee/agent, please leave this section blank.

Full Name:

Relationship with the Applicant: (e.g. agent)

Registered Office Address		Mailing Address (if different)	
Telephone Number:		Telephone Number:	
Fax Number:		Fax Number:	
Contact Name:		Contact Name:	
E-mail Address:		E-mail Address:	

2. Subscription Instructions

I/We hereby apply to purchase Shares in the amount of which sum will be paid by telegraphic transfer, and agree to be bound by the Instrument of Incorporation of the ICAV and its material contracts as set out in the Prospectus:

Class	**ISIN**	**Minimum Initial Investment**	**Investment Amount *(figure)***	**Investment Amount *(in words)***
F-USD (accumulating)	IE000ZVD9X83	US$50,000,000*		
F-EUR (accumulating)	IE000GW59S05	€50,000,000*		
F-GBP (accumulating)	IE000N2J5HK4	£50,000,000*		
F-CHF (accumulating)	IE000SVW0TK3	CHF50,000,000*		
SI-USD (accumulating)	IE000LWVQ5Z8	US$50,000,000*		
SI-EUR (accumulating)	IE0001YSGCT1	€50,000,000*		
SI-GBP (accumulating)	IE000Y1AUTN8	£50,000,000*		
SI-CHF (accumulating)	IE000YE0NIJ1	CHF50,000,000*		
I-USD (accumulating)	IE000ZJ3LGT6	US$1,000,000		
I-EUR (accumulating)	IE000RYCI524	€1,000,000		
I-GBP (accumulating)	IE000L98VRO2	£1,000,000		
I-CHF (accumulating)	IE000HWJGC52	CHF1,000,000		
R-USD (accumulating)	IE000QVXWDF9	US$100,000		
R-EUR (accumulating)	IE000Q31I048	€100,000		
R-GBP (accumulating)	IE000LRTPRL8	£100,000		

R-CHF (accumulating)	IE000H4AZ3Q0	CHF100,000		
M-USD (accumulating)	IE000POVXFC0	US$100,000		
M-EUR (accumulating)	IE000FXV2ZR5	€100,000		
M-GBP (accumulating)	IE000MRAZ9D7	£100,000		
M-CHF (accumulating)	IE000NZGH6F3	CHF100,000		

The details for each Class set out in the table above include the minimum initial subscription amounts. These amounts may be reduced or waived for all Shareholders in the relevant Class at the discretion of the Directors, the Manager, the Investment Manager or the Sub-Investment Manager in accordance with the requirements of the Central Bank UCITS Regulations.

*The Directors, the Manager, the Investment Manager and the Sub-Investment Manager may waive the minimum initial subscription amounts completely for each Class of Shares during the Initial Offer Period, subject to equal treatment of investors in the same Class and fair treatment of investors in the Sub-Fund, details of which can be found in the Supplement.

3. Bank Account Details *Please complete in full*

Applicant's Banking Information
Shareholders may change their banking information upon written notification to the Administrator. Redemption proceeds will not be paid to an account which is not in the Applicant's name.

Cleared subscription monies must be received within three (3) Business Days of the relevant Dealing Day.

If payment in full in cleared funds is not received within the required number of Business Days any provisional allotment of Shares may be cancelled.

Bank account details for USD Subscription Payments

Pay subscription monies in USD to the following bank account:

U.S. Dollar – USD	
Correspondent:	SOCIETE GENERALE NEW YORK
SWIFT\BIC:	SOGEUS33
Beneficiary Bank:	Société Générale (Paris)
SWIFT\BIC:	SOGEFRPPAFI
Beneficiary:	TYCHO CAPEVIEW EUR LS FD COL/USD
Account:	FR76 3000 3056 0503 0031 5373 245
Reference:	Name of Sub-Fund and ISIN – Applicant

Bank account details for EUR Subscription Payments

Pay subscription monies in EUR to the following bank account:

Euro - EUR	
Beneficiary Bank:	Société Générale (Paris)
SWIFT\BIC:	SOGEFRPPAFI
Beneficiary:	TYCHO CAPEVIEW EUR LS FD COL/EUR
Account:	FR76 3000 3056 0500 0031 5373 260
Reference:	Name of Sub-Fund and ISIN – Applicant

Bank account details for GBP Subscription Payments

Pay subscription monies in GBP to the following bank account:

British Pound – GBP	
Correspondent:	**BARCLAYS BANK LONDON**
SWIFT\BIC:	**BARCGB22**
Beneficiary Bank:	**Société Générale (Paris)**
SWIFT\BIC:	**SOGEFRPPAFI**
Beneficiary:	**TYCHO CAPEVIEW EUR LS FD COL/GBP**
Account:	**FR76 3000 3056 0503 8031 5373 241**
Reference:	**Name of Sub-Fund and ISIN – Applicant**

Bank account details for CHF Subscription Payments

Pay subscription monies in CHF to the following bank account:

Swiss Franc – CHF	
Correspondent:	**UBS / ZURICH**
SWIFT:	**UBSWCHZH80A**
Beneficiary Bank:	**Société Générale (Paris)**
SWIFT\BIC:	**SOGEFRPPAFI**
Beneficiary:	**TYCHO CAPEVIEW EUR LS FD COL/CHF**
Account:	**FR76 3000 3056 0503 9031 5373 289**
Reference:	**Name of Sub-Fund and ISIN – Applicant**

Distribution/Dividend Requirement

Please note that the ICAV does not anticipate distributing dividends from net investment income in respect of the Sub-Fund. Initially such amounts will be retained by the ICAV and will be reflected in the Net Asset Value of the Sub-Fund.

The ICAV reserves the right to pay dividends or make other distribution in the future in which case this Application Form will be updated accordingly.

Please note that if no option is indicated, distribution and dividend payments will be reinvested.

Distributions/ dividends paid by telegraphic transfer will be paid to the account details as completed below.

Please complete the Bank Account Details for the account that you wish any redemption payment and any distribution proceeds to be paid:

Bank account details for any redemption payments and distribution proceeds

Intermediary Bank	
SWIFT Code	
Name of Bank	
SWIFT Code	
Account Number	
Account Name	
Sort Code & IBAN	

Note: No third party payments will be undertaken

Note: A request to change bank account details must be made in writing to the Administrator, requiring an original signed instruction, and must be accompanied by a bank statement or banker's reference

Please contact the Administrator if payment details are required in any other currency. Any bank charges in respect of telegraphic transfers or otherwise will be deducted from the amount or value of subscriptions and the net amount invested in Shares. Requests for redemption or distribution payments to be made by telegraphic transfer will be subject to bank charges. The Base Currency of the Sub-Fund and the designated currency of any Shares will be set out in the Prospectus and is also noted above. Applications for Shares shall be accepted in the designated currency of the relevant Share Class. No redemption payment may be made until the original Application Form has been received by the Administrator and the Administrator is satisfied that all necessary anti-money laundering documentation and checks have been received and completed in full.

By **ticking the box** opposite, I hereby consent to the provision of contract notes, statements and other reports, by secured or encrypted electronic transmission, which may be issued from time to time by the Administrator in respect of my holdings in the Sub-Fund. ❐

4. Declaration of Residency

Resident Entities Composite Declaration
Declaration referred to in Section 739D(6)
Taxes Consolidation Act, 1997

This declaration, if it is then still correct, shall apply in respect of any subsequent acquisition of Shares.

- I declare that the information contained in this declaration is true and correct
- I also declare that I am applying for the Shares on behalf of the Applicant named below who is entitled to the Shares in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997, being a person who is (please tick ✓ as appropriate)

a pension scheme;	
a company carrying on life business within the meaning of section 706 TCA 1997;	
an investment undertaking;	
an investment limited partnership;	
a special investment scheme;	
a unit trust to which section 731 (5)(a) TCA 1997 applies;	
a charity being a person referred to in section 739D(6)(f)(i) TCA1997;	
a qualifying management company;	
entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA, 1997* (see further requirement for Qualifying Fund Manager below);	
a PRSA Administrator;	
a credit union within the meaning of section 2 of the Credit Union Act 1997.	

Additional requirements where the declaration is completed on behalf of a Charity

- I also declare that at the time of making this declaration, the Shares in respect of which this declaration is made are held for charitable purposes only and
  - form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
  - are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.
- I undertake that, in the event that the person referred to in paragraph (7) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA, 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by a qualifying fund manager / PRSA Administrator

- I/We* also declare that at the time this declaration is made, the Shares in respect of which this declaration is made
  - are assets of * an approved retirement fund/an approved minimum retirement fund or a PRSA, and
  - are managed by the Declarant for the individual named below who is beneficially entitled to the Shares.
- I/We* undertake that, if the Shares cease to be assets of *the approved retirement fund/the approved minimum retirement fund or the PRSA, including a case where the Shares are transferred to another such fund or account, I/we* will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

Delete as appropriate

Additional requirements where the declaration is completed by an Intermediary

- I/We* also declare that I am/we are* applying for Shares on behalf of persons who
  - To the best of my/our* knowledge and belief, have beneficial entitlement to each of the Shares in respect of which this declaration is made, and
  - Is a person referred to in section 739D(6) TCA, 1997.
- I/We* further declare that
  - Unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of persons referred to in section 739D(6) TCA, 1997, and
  - I/We* will inform you in writing if I/we* become aware that any person ceases to be a person referred to in section 739D(6) TCA, 1997.

Delete as appropriate

Name of Applicant: ____

Irish tax reference number of Applicant: ______________________________

Authorised signatory: ____(Declarant)

Title: (Mr/Ms. etc) ____

Capacity in which declaration is made: _________________________________

Date: _______/________/____________

Important Notes

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA, 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the Shares.
3. In the case of, (i) an exempt pension scheme, the administrator must sign the declaration; (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration; (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of an approved retirement fund/an approved minimum retirement fund or a PRSA, it must be signed by a qualifying fund manager or PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. A copy of the power of attorney should be furnished in support of this declaration.

Declaration of Residence outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares. Terms used in this declaration are defined in the Prospectus.

Declaration on own behalf

I/We* declare that I am/we are* applying for the Shares on my own/our own behalf /on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that

- I am/We are/the company is* not currently resident or ordinarily resident in Ireland, and
- Should I/we/the company* become resident Ireland, I will/we will* so inform you, in writing accordingly.

Delete as appropriate

Declaration as Intermediary

I/We* declare that I am/we are* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and
- who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/We* also declare that:

- Unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons: and
- I/We* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* hold Shares, becomes resident in Ireland.

Delete as appropriate

Name and address of Applicant: ____

____

Signature of Applicant or authorised signatory; ______________________________ (Declarant)

Capacity of authorised signatory (if applicable): ______________________________

Date: ________/________/________

Joint Applicants:

Names:______________________________ Signatures: ______________________________

______________________________ ______________________________

Important Notes

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the Applicant. Where there is more than one Applicant, each person must sign. If the Applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the declaration.

*An "**intermediary**" means a person who

- carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in the State on behalf of other persons;
- holds units in an investment undertaking on behalf of other persons.

Notes in relation to residence / ordinary residence:

Residence — Individual
An individual will be regarded as being resident in Ireland for a tax year if s/he;

- spends 183 days or more in the State in that tax year; or
- has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year.

Presence in a tax year by an individual of not more than 30 days in Ireland will not be reckoned for the purpose of applying the two year test. Presence in Ireland for a day means the personal presence of an individual at any time during that day.

Ordinary Residence — Individual
The Irish tax year operates on the calendar year basis. The term "ordinary residence" (as distinct from 'residence') refers to persons in normal pattern of life and denotes residence in a place with some degree of continuity.

An individual who has been resident in Ireland for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year. An individual who has been ordinarily resident in Ireland ceases to be ordinarily resident at the end of the third consecutive tax year in which the individual is not resident in Ireland. For example, an individual who is resident and ordinarily resident in Ireland in 2018 and departs Ireland in that year will remain ordinarily resident in Ireland up to the end of the year in 2021.

Residence — Company
Prior to Finance Act 2014, company residence was determined with regard to the long established common law rules based on central management and control. These rules were significantly revised in Finance Act 2014 to provide that a company incorporated in the State will be regarded as resident for tax purposes in the State, unless it is treated as resident in a treaty partner country by virtue of a double taxation treaty. While the common law rule based on central management and control remains in place, it is subject to the statutory rule for determining company residence based on incorporation in the State set out in the revised section 23A TCA 1997.

The new incorporation rule for determining the tax residence of a company incorporated in the State will apply to companies incorporated on or after 1 January 2015. For companies incorporated in the State before this date, a transition period will apply until 31 December 2020.

5. Identity Verification

In accordance with the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 as amended by the Criminal Justice Act 2013 (the "Act"), please complete the area below applicable to you and provide the identity verification documentation initially requested.

IMPORTANT: Be aware that the ICAV reserves the right to request additional information and documentation, *including requiring that previously submitted documentation be certified as a true copy and re-submitted* to ensure the ICAV's compliance with the Act and any associated regulations and authoritative guidance.

Be aware that this may extend to identifying the beneficial owner and verifying their identity until such time that the ultimate natural persons beneficially owning the investment are identified.

Please note that for certain types of investors (for example a politically exposed person ("PEP")[6] or a recognised regulated entity[7]) the Administrator will require and request further information.

E X C E P T I O N S

If you are one of the following (tick where appropriate), you do not need to complete the Supplemental Investor Identification Information section, subject to (i) the ICAV's agreement and (ii) its right to request additional information and documentation.

❒ (a) We are a regulated credit or financial institution in this EU Member State	*EU Member State Country Name*
❒ (b) We are a regulated credit or financial institution in this country	*Country Name*
❒ (c) We are a company listed on this stock exchange	*Exchange Name*
	Company Name and Country

[6] an individual who is or was at any time in the preceding year entrusted with a prominent public function and their immediate family members or persons known to be close associates of such persons

[7] a bank, provider of financial services or nominee company acting on its own account and if authorised and regulated in Australia, Austria, Belgium, Bulgaria, Canada, Channel Islands, Denmark, Finland, France, Germany, Greece, Hong Kong, Iceland, Ireland, Isle of Man, Italy, Japan, Luxembourg, Netherlands, Norway, Portugal, Singapore, South Africa, South Korea, Spain, Sweden, Switzerland, United Kingdom, United States

❐ (d) We are a registered pension or superannuation fund in this EU Member State	*EU Member State Country Name*
You may independently verify this registration on our regulator's website named here or we attach a copy of our authorisation	*Regulator Website*

Supplemental Investor Identification Information

Tick, complete as appropriate and attach the documentation required. If there is insufficient space, attach the information to the application and indicate in the relevant box to see your attachments

Please note that all copies of documents must be originally certified. Certification must include a statement to the effect that the original of the document was sighted. All certification must be signed, dated and include the name, address and where applicable the professional registration of the certifier.

❐ **Natural Persons**

Please confirm whether you are a politically exposed person. A politically exposed person is defined as an individual who is or was, at any time in the preceding year, entrusted with prominent public functions, and their immediate family members, or persons known to be close associates of such persons:

Yes ❐ No ❐

Required Identity Verification Documentation

Please provide:

- Originally certified true copy of photocopy of a photographic identity documents such as a passport or driver's license <u>and</u>
- Originally certified true copies of one non-photographic identity document (such as a utility bill)
- Source of Wealth Declaration (see Template - Section 8)

❐ **Corporate Entities**

Country of Registration	
Registration Number	

Principal Business Address (if different to application address)

Names of all Directors (attach a sheet if necessary)

Name of beneficial owners who own more than 25% of the share capital or voting right or indicate if none (attach a sheet if necessary)

Required Identity Verification Documentation

Please provide

- A certified copy of (i) certificate of incorporation (or equivalent), and (ii) the memorandum & articles of association (or equivalent) and, (iii) the latest audited financial statement, <u>and</u>
- For each of two (2) directors of the company, please provide:
  - A certified photographic identity document such as passport or driver's license <u>and</u>
  - One certified non-photographic identity document (such as a utility bill)

❒ **Partnerships, Limited Liability Companies, Limited Liability Partnerships, and Equivalents**

Country of Domicile

Principal Business Address if different to application address

Name and address of General Partner (or equivalent)

Name of all partners/members (attach a sheet if necessary)

Name of beneficial owners who own more than 25% of the entity or its voting rights or indicate if none (attach a sheet if necessary)

Required Identity Verification Documentation

Please provide

- A copy of the partnership agreement or equivalent, <u>and</u>
- The appropriate identity verification documentation*, depending on their legal nature, for:
  - The General Partner (or equivalent), <u>or</u>
  - Any two partners, <u>or</u>
  - Any one partner and one authorised signatory

** i.e. if they are a corporate entity, see Required Identity Verification Documentation within the Corporate Entities section above, or if they are a natural person, then the following is required:*

- *A photocopy of a photographic identity document such as passport or driver's license and*
- *One non-photographic identity document (such as a utility bill)*

❒ **Trusts, Pensions, Foundations, and Similar Entities**

Nature and/or purpose of the trust, pension, charity, etc.

Principal Business Address if different to application address

Names of all trustees/ directors/ governors (attach a sheet if necessary)

Name of settler, sponsoring employer, or equivalent

Name of all beneficial owners who own more than 25% of capital or indicate if none (attach a sheet if necessary). If a charity, the names or classes of beneficiaries

Pensions Only — please confirm the following:

▪ The scheme provides retirement benefits to employees	Yes ❒ No ❒
▪ Contributions are made by an employer or by way of deduction from an employee's wages	Yes ❒ No ❒
▪ The scheme's rules do not permit the assignment of a member's interest under the scheme	Yes ❒ No ❒

Required Identity Verification Documentation

Please Provide

- A copy of the trust deed (or equivalent) or confirmation of the entity to an appropriate register, and
- The appropriate identity verification documentation*, depending on their legal nature, for:
  - Any two trustees / directors / governors / equivalent, or
  - Any one trustee / director / etc. and one authorised signatory

**i.e. if they are a corporate entity, see Required Identity Verification Documentation within the Corporate Entities section above, or if they are a natural person, then the following is required:*

- *A photocopy of a photographic identity document such as passport or driver's license and*
- *One non-photographic identity document (such as a utility bill)*

❒ **None of the Above**

Please contact the Distributor or Administrator for requirements.

Notes: The Administrator in its absolute discretion reserves the right to request from the applicant such other or additional documentation when it deems it appropriate to do so to enable the Administrator determine the applicant's compliance with applicable regulatory requirements or the applicant's anti-money laundering verification status on a risk sensitive basis and the applicant shall provide to the Administrator from time to time with such information as may reasonably be requested. Each person acquiring Shares in the Company must satisfy the foregoing both at the time of subscription and at all times thereafter until such person cease to be a Shareholder. Accordingly, the applicant agrees to notify the Administrator promptly if there is any change with respect to any of the foregoing information, declarations or representations and to provide the Administrator with such further information as the Administrator may reasonably require.

All documentation to be provided as originally certified true copies and should be certified as follows:

Certification rules: Documents must be originally certified. Certification must include a statement to the effect that the original of the document was sighted. All certification must be signed, dated and include the name, address and where applicable the professional registration of the certifier. The following are considered suitable persons to certify documentation:

- Garda Siochána / Police Officer,

- Practising Chartered & Certified Public Accountants

- Notaries Public / Practising solicitors

- Embassy/Consular Staff

- Regulated financial or credit institutions

- Justice of the peace

- Commissioner for oaths

- Medical professional

6. Representations, Authorities and Indemnities

Check or delete where requested and appropriate

1. I/We confirm that I am / we are 18 years of age or over (delete if you are not a natural person).
2. If you are joint Applicants – We direct that, on the death of one of us, the Shares for which we are applying shall be held in the name of and to the order of the survivor(s) or the executor(s) or administrator of the last such survivor (delete if you are not a natural person or you are a sole investor).
3. I/We confirm that I / we are not a US Person (as defined in the Prospectus) and are not acquiring Shares on behalf of, or for the benefit of, a US Person, nor do I/we intend transferring any Shares which I/we may purchase to any person who is a US Person. I/We confirm that I/we have the authority to make this investment whether the investment is our own or is made on behalf of another person or institution.
4. I/We indemnify the ICAV, the Manager, the Investment Manager, the Sub-Investment Manager, the Depositary, the Administrator and other Shareholders for any loss suffered by them as a result of me/us acquiring or holding Shares in the ICAV where, by virtue of my / our holding, I am / we are in breach of the laws of any competent jurisdiction.
5. I/We hereby acknowledge as part of this application that I/we have been provided with a copy of the Prospectus and applicable Supplement and where applicable the most recent annual or half-yearly reports and accounts for the ICAV and furthermore that this application is made on the terms thereof and subject to the provisions of the Instrument of Incorporation of the ICAV. In particular, I/we acknowledge that I am/we are aware of the potential risks associated with this investment and where appropriate have sought professional advice on matters of taxation and such other consequences applicable to the investment.
6. I/We hereby agree to indemnify and hold harmless the ICAV, the Administrator, the Depositary, the Manager, the Investment Manager, the Sub-Investment Manager, and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal d, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition covenant or agreement set forth herein or in any document delivered to by me/us to the ICAV or the Administrator. The ICAV, the Manager, the Investment Manager, the Sub-Investment Manager and the Administrator will not be responsible or liable for the authenticity of instructions received from us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine.
7. The Administrator, the Manager, the Investment Manager, the Sub-Investment Manager and the ICAV are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this Application Form relates given by me/us in written form, or by facsimile.
8. I/We agree to indemnify each of the Administrator, the Manager, the Investment Manager, the Sub-Investment Manager and the ICAV and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon a facsimile instruction. In circumstances where such instructions relate to a change in the Applicant's Banking Information referred to above, I/we confirm that I/we will provide you with an original instruction.
9. The Administrator, the Manager, the Investment Manager, the Sub-Investment Manager and the ICAV may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
10. I/We acknowledge that the Administrator will not remit redemption proceeds until the Administrator has received an original of this Application Form and until all required anti-money laundering documentation has been received by the Administrator. In such circumstances, the Administrator may process the redemption request received, however the redemption proceeds shall remain an asset of the Sub-Fund and the Shareholder will rank as a general creditor of the Sub-Fund until such time as the Administrator is satisfied that its anti-money laundering procedures have been complied with, following which redemption proceeds will be released. I/we acknowledge that the Sub-Fund

may compulsorily redeem Shares in the event of delay or failure by me/us to produce any information required for anti-money laundering verification purposes.

11. I/We confirm that I/we have the capacity and am/are duly authorised to make this application and to make the representations and give the indemnities referred to in this Application Form.

12. I/We shall provide the ICAV and the Administrator with any additional information which it may reasonably request in connection with tax and or/FATCA regulations/reporting requirements or other similar requirements in order to substantiate any representations made by me/us or otherwise and I/we authorise the ICAV or its agents to disclose such information relating to this application to such persons as they consider appropriate. For the purposes of the Tax Reporting Services, the Administrator may assume that the Sub-Fund has obtained any and all effective consents, agreements, waivers or approvals that may be required from Shareholders or others to whom a duty of confidentiality is owed and the Administrator shall not be obliged to enquire further but shall be entitled to rely on any representation made by the Sub-Fund or its duly authorised representatives in this respect.

    I/We agree to provide to the ICAV and the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require (the “Tax Information”) in connection with this investment (including for the purposes of tax and/or FATCA regulations/reporting requirements). Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the ICAV or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the ICAV.

    In addition to any information required to the Tax Information, I/we agree to promptly provide, and periodically update, at any times requested by the ICAV, any information (or verification thereof) the ICAV deems necessary to comply with any requirement imposed by Sections 1471-1474 of the U.S. Internal Revenue Code of 1986, as amended (the “Code”), and any Treasury Regulations, forms, instructions, or other guidance issued pursuant thereto in order to reduce or eliminate FATCA withholding taxes. The information required to be provided by the preceding sentence may include, but shall not be limited to, (A) information the ICAV deems necessary to determine whether the Shareholder is a “foreign financial institution” (“FFI”) as defined in Code 1471(d)(4) or a “non-financial foreign entity” (“NFFE”) as defined in Code 1472(d), (B) if I/we am/are an FFI, any certification, statement or other information the ICAV deems necessary to determine whether I/we meet the requirements of Code 1471(b) (including entering into an agreement with the IRS pursuant to Code 1471(b) (an “FFI Agreement”) and complying with the terms thereof or, if the FFI is in a jurisdiction that has signed an intergovernmental agreement (“IGA”) with the United States, complying with the terms of the IGA and any local laws or regulations implementing its terms) or is otherwise exempt from withholding required under Code 1471, (C) if I/we am/are an FFI, including an FFI in a jurisdiction that has signed an IGA, a Global Intermediary Identification Number (“GIIN”) assigned by the IRS; and (D) if I/we am/are an NFFE, any certification, statement or other information the ICAV deems necessary to determine whether a shareholder meets the requirements of Code 1472(b) (which information may be given to the U.S. Internal Revenue Service (“IRS”) pursuant to Code 1472(b)(3)) or is otherwise exempt from withholding required under Code 1472.

    In addition to the Tax Information, I/we agree to promptly provide, at any times requested by the ICAV, any information (or verification thereof) the ICAV deems necessary for the ICAV to comply with the terms of the IGA and any Irish laws, regulations or other guidance implementing the IGA, and any information required to comply with the terms of that agreement on an annual or more frequent basis. I/We agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requests and acknowledges that, if it fails to provide such waiver, it may be required by the ICAV to withdraw from the Sub-Fund if necessary to comply with FATCA and the IGA.

    By providing the Tax Information, I/we represent and warrant the completeness and accuracy of such information (as at the date of submission) and authorise the ICAV to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. The ICAV shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of the Tax Information provided by us and I/we will, on demand, hold the

ICAV harmless from any liability resulting from the my/our failure to provide complete and accurate Tax Information.

I/We hereby acknowledge that if I/we fail to provide the Tax Information on a timely basis, I/we may be subject to 30% U.S. withholding tax on the investor's share of "withholdable payments" (as defined for purposes of FATCA) received by the ICAV.

I/We hereby acknowledge that if I/we fail to provide the Tax Information and such failure results in the ICAV being unable to comply with the IGA, the ICAV may exercise its right to completely redeem an Applicant (at any time upon any or no notice). I/We further acknowledge and agree to indemnify the ICAV and its other investors for any losses resulting from our failure to meet its obligations under this Section, including any U.S. withholding tax imposed on the ICAV.

13. I/We request that the Shares issued pursuant to this application are registered in the name and address set out on page 1 hereof.
14. I/We accept such lesser number of Shares if any, than may be specified above in respect of which this application may be accepted.
15. I/We acknowledge that all telephone calls with the Manager, the Investment Manager, the Sub-Investment Manager, the Administrator or Depositary may be recorded and consent to such recording.
16. I/We agree (i) to provide these representations to the ICAV at such times as the ICAV may request, and (ii) to provide on request such certifications, documents or other evidence as the ICAV may reasonably require to substantiate such representations.
17. I/We agree to notify the ICAV immediately if I/we become aware that any of the representations made and/or information given is no longer accurate and complete in all respects. I/We agree immediately either to sell or to tender to the ICAV for redemption of a sufficient number of Shares to allow the representations to be made again.
18. I/We acknowledge that in respect of a fund which has invested in equity related securities, all or part of that fund's fee and expenses may be charged to the capital of the fund and that this policy will have the effect of lowering the capital value of my/our investment.
19. I/We understand that the confirmations, representations, declarations and warranties made herein are continuing and apply to all subsequent purchases of Shares by me/us in the ICAV.
20. I/We understand that certain laws and regulations may require disclosure of my/our identity and other information (including financial information) under some circumstances. The Administrator, the ICAV, the Manager, the Investment Manager and or Sub-Investment Manager may disclose such information to third parties, including regulatory and taxation authorities, where necessary under such laws and regulations (including FATCA and the OECD Common Reporting Standard) or for legitimate business interests. This may also include disclosure to third parties such as auditors or agents of the Administrator who process the data for anti-money laundering purposes or for compliance with foreign regulatory requirements. Such disclosures may be a matter of public record. I/We hereby consent to such disclosures.

SUPPLEMENTAL REPRESENTATIONS FOR INVESTORS IN CHINA AND INDIA ONLY ("SUPPLEMENTAL REPRESENTATIONS")

1. (a) I/We represent and covenant (which representations and covenants will be deemed to be repeated on each date on which Shares are applied for) that neither me/us nor any person controlling, controlled by or under common control with me/us and any person having a beneficial interest in me/us, is a Domestic Investor (as defined below) prohibited from investing in the Sub-Fund under the laws and regulations of the PRC (as defined below) and no amounts that have been or will be used to invest in the Sub-Fund have or will involve, whether directly or indirectly, money financed by or sourced from any Domestic Investor prohibited from investing in the Sub-Fund under the laws and regulations of the PRC.

   (b) I/We acknowledge that if I am/we are, or the ICAV or the Administrator reasonably believes that I am/we are, at any time a Domestic Investor prohibited from investing in the Sub-Fund under the laws and regulations of the PRC, the ICAV and/or the Administrator may (i) be obligated to freeze the investment, either by prohibiting additional investments, declining any withdrawal requests and/or segregating the assets constituting the investment in accordance with applicable regulations, or (ii) immediately withdraw my/our investment in the Sub-Fund, and I/we shall have no claim against the ICAV and/or the Sub-Fund, any of its affiliates, or any of its service providers, for any form of damages as a result of any aforementioned actions.

2. (a) I/We understand that the ICAV prohibits any investment, or beneficial ownership, in the Sub-Fund by or on behalf of the following persons or entities (each, a "**Proscribed Person**"):

   (i) as defined under the laws of India, which definitions are provided below:

   (A) a person resident in India;

   (B) a non-resident Indian;

   (C) a person of Indian origin;

   (D) an overseas corporate body; or

   (E) entities controlled by non-resident Indians or overseas corporate bodies ("control" here means directly or indirectly control the management of such entities); and

   (ii) any other category of person that the ICAV may determine to include within the definition of Proscribed Person from time to time.

   (b) I/We represent and covenant that neither I/us nor any person controlling, controlled by, or under common control with me/us, and any person having a beneficial interest in me/us, is a Proscribed Person and no amounts that have been or will be used to invest in the Sub-Fund have or will involve, whether directly or indirectly, money financed by or sourced from any Proscribed Person.

   (c) I/We acknowledge that if I am/we are, or the ICAV or the Administrator reasonably believes that I am/we are, at any time a Proscribed Person, the ICAV and/or the Administrator may (i) be obligated to freeze the investment, either by prohibiting additional investments, declining any withdrawal requests and/or segregating the assets constituting the investment in accordance with applicable regulations, or (ii) immediately withdraw my/our investment in the Sub-Fund, and I/we shall have no claim against the ICAV and/or the Sub-Fund, any of its affiliates, or any of its service providers, for any form of damages as a result of any aforementioned actions.

3. (a) In respect of 1 and 2 above, the ICAV and/or the Administrator reserves the right to request such information as is necessary to verify the identity and status of the Applicant for any appropriate purpose and the source of its subscription funds. In the event of delay or failure by the Applicant to respond to a request for information (or any additional information subsequently requested by the ICAV and/or the Administrator) within five (5) days of request by the ICAV and/or the Administrator, the Applicant agrees that it will be deemed to have represented that it is not a Domestic Investor prohibited from investing in the Sub-Fund under the laws of the PRC or a Proscribed Person and the ICAV and/or the Administrator will be entitled to rely on any failure to respond as though the Applicant produced information demonstrating affirmatively that it was not a Domestic Investor prohibited from investing in the Sub-Fund under the laws of the PRC or a Proscribed Person. The Applicant acknowledges and agrees that the ICAV and the Administrator shall be held harmless and indemnified against any loss arising as a result of such failure to respond.

   (b) I/We acknowledge that the ICAV and/or the Administrator may be required to provide information in its possession regarding me/us to the China Securities Exchange Commission, the Securities and Exchange Board of India, any other Chinese or Indian governmental or regulatory authority or the governmental or regulatory authorities of any other relevant jurisdiction as required under applicable laws and regulations. I/We consent to such disclosure and agree that, upon the request of the ICAV and/or the Administrator, it shall, in a timely manner, provide such additional information as is necessary in order for the ICAV and/or the Administrator to comply with any such governmental or regulatory requirements. I/We acknowledge and agree that the ICAV and the Administrator shall be held harmless and indemnified against any loss arising as a result of any failure to provide such additional information.

Notes on the Supplemental Representations

Person Resident in India

The term "person resident in India" is defined by the Indian Foreign Exchange Management Act of 1999, as amended ("**FEMA**") and includes the following:

(a) a person residing in India for more than one hundred and eighty-two days during the course of the preceding financial year but does not include-

(i) a person who has gone out of India or who stays outside India, in either case-

(A) for or on taking up employment outside India, or

(B) for carrying on outside India a business or vocation outside India, or

(C) for any other purpose, in such circumstances as would indicate his intention to stay outside India for an uncertain period;

(ii) a person who has come to or stays in India, in either case, otherwise than-

(A) for or on taking up employment in India, or

(B) for carrying on in India a business or vocation, or

(C) for any other purpose, in such circumstances as would indicate his intention to stay in India for an uncertain period;

(b) any person or body corporate registered or incorporated in India;

(c) an office, branch or agency in India owned or controlled by a person resident outside India; or

(d) an office, branch or agency outside India owned or controlled by a person resident in India.

Non-Resident Indian

The term a "non-resident Indian" is defined by the Indian Foreign Exchange Management (Deposit) Regulations, 2000 as notified by the Reserve Bank of India, as amended ("FEMA Regulations") and means a person resident outside India who is a citizen of India or is a person of Indian origin.

Person of Indian Origin

The term "person of Indian origin" is defined by the FEMA Regulations and means a citizen of any country other than Bangladesh or Pakistan, if:

(a) the person at any time held an Indian passport;

(b) the person or either of such person's parents or any of such person's grandparents was a citizen of India by virtue of the Constitution of India or the Indian Citizenship Act, 1955 (57 of 1955); or

(c) the person is a spouse of an Indian citizen or a person referred to in sub-clause (a) or (b).

Overseas Corporate Body

The term "overseas corporate body" is defined by the FEMA Regulations and means a company, partnership firm, society and other corporate body owned directly or indirectly to the extent of at least sixty per cent. by Non-Resident Indians and includes overseas trusts in which not less than sixty per cent. beneficial interest is held by Non-resident Indians directly or indirectly but irrevocably.

Domestic Investor

The term "Domestic Investor" (境内投资者) is defined in the "Administrative Rules of Securities Accounts of China Securities Depository and Clearing Corporation Limited" (中国证券登记结算有限责任公司证券账户管理规则) published by China Securities Depository and Clearing Corporation Limited (中国证券登记结算有限责任公司), and for the purpose of this Schedule, "Domestic Investor" shall include, without limitation:

(a) residents of the People's Republic of China (the "PRC"), which does not include permanent residents of The Hong Kong Special Administrative Region of PRC ("Hong Kong"), The Macau Special Administrative Region of PRC ("Macau") and Taiwan ("PRC citizens");

(b) PRC citizens resident outside the PRC who are not permanent residents of another country or permanent residents of Hong Kong, Macau or Taiwan; and

(c) legal persons registered in the PRC (excluding Hong Kong, Macau and Taiwan), which excludes foreign entities incorporated or organised in other jurisdictions even though they may have an office (i.e. a branch) in PRC.

Packaged Retail and Insurance-based Investment Products Key Investor Document ("PRIIPs KID") and Key Investor Information Document ("KIID")

1. I/We hereby acknowledge and confirm that I/we have received, read and understood the PRIIPs KID and/or the KIID for the Sub-Fund and Class(es) to which this Application Form relates in good time prior to completing this Application Form. I/We hereby confirm that for any subsequent investments I/we will obtain and read the latest version of the appropriate PRIIPs KID and/or KIID prior to each subscription and that any future investments to any other sub-fund or share class of the ICAV can also be transacted based on this confirmation. The PRIIPs KID and KIID are available on https://funds.tychocap.com/fund-documents/.

2. I/We represent and acknowledge that:

   I/We have regular access to the internet and acknowledge and agree that the provision of the PRIIPs KID and/or KIID by way of email or website is appropriate in the context in which the business between the ICAV, its delegate(s) and me/us is, or is to be, carried on and that the provision by me/us of my/our email address to the ICAV or its delegate(s) is evidence of this.

   I/We have been offered the choice of receiving the Prospectus and the PRIIPs KID and/or KIID on paper and in electronic form by means of a website or by email and hereby specifically consent to receiving the PRIIPs KID and/or KIID in electronic form by email or by accessing the latest version of the document online at https://funds.tychocap.com/fund-documents/ (or such other website as may be notified to me/us from time to time).

   I/We have also been notified electronically of this website address and the place on the website where the PRIIPs KID and KIID can be accessed.

   I/We received or accessed by electronic means the PRIIPs KID and/or KIID, as applicable.

   I/We consent to accessing the PRIIPs KID and KIID by electronic means before making any subsequent and/or future subscriptions for Shares in any Class of the Sub-Fund.

   The PRIIPs KID and KIID shall be reviewed at least every 12 months and the up-to-date versions of the PRIIPs KID and KIID at any given time shall be made available on https://funds.tychocap.com/fund-documents/ (or such other website as may be notified to me/us from time to time. I/We agree that I/we will inspect the PRIIPs KID and/or KIID, as applicable by accessing the website in good time before making any subsequent and/or future subscriptions for Shares in any Class of the Sub-Fund. I/We agree that I/we bear sole responsibility for ensuring that I/we have inspected the up-to-date version of the PRIIPs KID and/or KIID and that, in any event, I/we consent to be, and shall be deemed to have been, provided with the up-to-date PRIIPs KID and/or KIID by means of the website in good time before any subsequent or future subscriptions.

   Where I/we are acquiring the Shares for or on behalf of any person in my/our capacity as a sub-distributor of the ICAV, I/we certify that prior to accepting any order for the acquisition of Shares, I/we will ensure that the investor will be provided with the current PRIIPs KID and/or KIID for the relevant Share Class. Where permitted by applicable law, "provided" shall include informing the investor of the applicable website where the PRIIPs KID and KIID may be obtained, which is https://funds.tychocap.com/fund-documents/ (or such other website address as may be notified to me/us from time to time).

   I/We may at any time request a hard copy of any such documentation from the Manager free of charge and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically or to subscribe or redeem Shares of the Sub-Fund electronically.

DATA PRIVACY NOTICE

In accordance with the General Data Protection Regulation (697/2016/EU) (the "**GDPR**") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 - 2018) (collectively, "**Data Protection Legislation**"), the ICAV being the data controller for the purposes of this application to subscribe for Shares in the ICAV, must provide you with information on how the personal data that you provide as part of your application to subscribe for Shares will be processed.

Where your details are provided to the ICAV as a consequence of your investment in the ICAV, then the ICAV, acting as a data controller may itself (or through a third party such as Société Générale Securities Services, SSGS (Ireland) Limited (the "**Administrator**") acting in its capacity as the ICAV's administrator) process your personal information or that of your directors, officers, employees and/or beneficial owners. When processing your personal information, there may also be times where the Administrator will act as a data controller. In connection with this, please note the following:

1. Contact details

The ICAV, as data controller, has appointed the Administrator as a data processor in its capacity as transfer agent to the ICAV. The Administrator when providing the ICAV with fund valuation services has been appointed by the ICAV as a data controller. The ICAV can be contacted via the Administrator at the following address:

Tycho ICAV in respect of Tycho CapeView European Long Short Fund **(the "Sub-fund")**

Administrator
Tycho ICAV
Société Générale Securities Services, SSGS (Ireland) Limited
3rd Floor
IFSC House
IFSC
Dublin 1
Ireland

Dataprotection.sgssireland@sgss.socgen.com
DPO.sgssireland@sgss.socgen.com

2. Purposes of processing and legal basis for processing

The personal data collected from you or provided by you or on your behalf in connection with your application for Shares may be processed by the ICAV or the Administrator (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

Performance of the contract

(a) to facilitate the opening of your account with the ICAV, the management and administration of your holdings in the ICAV and any related account on an on-going basis (the "**Services**") which are necessary for the performance of your contract with the ICAV, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions;
(b) to update and maintain records and fee calculation;
(c) circulating periodic reports relating to the ICAV.

Compliance with a legal obligation

(a) in order to carry out anti-money laundering checks and related actions which the ICAV considers appropriate to meet any legal obligations imposed on the ICAV relating to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with the ICAV's and the Administrator's anti-money laundering procedures;

(b) to report tax related information to tax authorities in order to comply with a legal obligation.

Pursuing the legitimate interests of the ICAV including:

(a) in relation to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with the ICAV's and the Administrator's anti-money laundering procedures;
(b) carrying out statistical analysis and market research;
(c) recording, maintaining, storing and using recordings of telephone calls that you make to and receive from the ICAV, the Administrator, the Manager, or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend the ICAV and its affiliates' rights itself or in order to comply with any legal obligation imposed on the ICAV, (v) to pursue the ICAV's legitimate interests in relation to such matters or (vi) where the processing is in the public interest;
(d) to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the ICAV to improve its service delivery;
(e) to disclose information to other third parties such as service providers of the ICAV, auditors, regulatory authorities and technology providers;
(f) to retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator including in relation to other funds or clients of the Administrator in pursuance of the Administrator's and its clients' legitimate interests.
(g) for direct marketing purposes (that is, us providing you with information on products and services) i.e. where this is necessary for the purposes of the legitimate interests of us or a third party and such legitimate interests are not overridden by your interests, fundamental rights or freedoms and provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you.

Please note that where personal data is processed for purposes of legitimate interests, you have a right to object to such processing and the ICAV will no longer process the personal data unless the ICAV can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims.

Consent

Your consent is not required to process your personal data for the purposes referenced above. However, in order to process your personal data for the purposes of direct marketing, the ICAV seeks your consent. Please see the section below which deals exclusively with consent for that purpose.

3. Consent to Direct Marketing

From time to time, one or more of the ICAV and/or its delegates (which may include, without limitation, the Administrator, the Manager, the Investment Manager and the Depositary may send you information about other products and services that they offer by letter, by telephone, by email or by other reasonable means of communication. You have a right not to receive such information.

If you consent to receive such information and/or marketing material, **please tick (☐) here**

You have a right to withdraw this consent at any time. However, your withdrawal of consent will not affect the lawfulness of processing based on consent before its withdrawal. You can withdraw your consent by contacting the ICAV c/o the Administrator at the address above. You also have a right object to the processing of your personal data for direct marketing purposes.

4. Profiling and Screening

The ICAV and its appointed data processors engage in OFAC and politically exposed person screening for the purposes of complying with anti-money laundering and counter terrorist financing legislation and with UN, EU and other applicable sanctions regimes.

5. Disclosures to Data Processors and / or Third Parties

The ICAV may disclose your personal information as follows:

(a) to third parties identified in the Prospectus and to entities that are companies within the same group of companies as such third parties in order to process the data for the above mentioned purposes. These third parties will handle your information in accordance with applicable Data Protection Legislation;

(b) to other third parties such as the auditors to the ICAV or agents of the Administrator who process the information for anti-money laundering purposes or for compliance with foreign regulatory requirements; and

(c) to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

6. Transfers Abroad

The disclosure of personal information to the third parties set out above may involve the transfer of data to India and other jurisdictions outside the European Economic Area (EEA) in accordance with the requirements of the GDPR. Such countries may not have the same data protection laws as your jurisdiction. The ICAV has authorised the Administrator as its agent to put in place Standard Contractual Clauses with relevant parties to whom personal data will be transferred. Please contact the Administrator for copies of the Standard Contractual Clauses that have been entered into on behalf of the ICAV.

7. Retention period

The ICAV and the Administrator will retain your personal information for as long as required for the ICAV or the Administrator to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate that the ICAV retains your personal information.

8. Your data protection rights

Please note that you have the following rights under the GDPR in relation to your personal information. In each case, the exercise of these rights is subject to the provisions of the GDPR:

(a) You have a right of access to and the right to amend and rectify your personal data.

(b) You have the right to have any incomplete personal data completed.

(c) You have a right to lodge a complaint with a supervisory authority, in particular in the Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the ICAV infringes the GDPR.

(d) You have a right to request that your personal information is erased (in certain specific circumstances).

(e) You have a right to restrict processing (in certain specific circumstances).

(f) You have a right to data portability (in certain specific circumstances).

(g) You also have the right to object to processing where personal data is being processed for marketing purposes and also where the ICAV is processing personal data for legitimate interests.

9. Failure to provide personal data

The provision by you of personal data, as outlined in the section above titled "Purposes of processing and legal basis for processing" is required for us to accept your subscription application and manage and administer your holdings in the ICAV and so that we can comply with the legal, regulatory and tax requirements referenced above. Where you fail to provide such personal data we will not be able to accept your application or to the extent that we do accept your application and the required personal data is not provided within the specified timeframe we may be required to discontinue our business relationship with you.

7. FATCA & CRS - Entity Self-Certification

Entity Self-Certification for FATCA and CRS

Instructions for completion and Data Protection notice

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the Data Protection Acts, 1988 - 2018 of Ireland. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Revenue Commissioner's website at:
http://www.revenue.ie/en/business/aeoi/index.html or the following link:
http://www.oecd.org/tax/automatic-exchange/ in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

*(Mandatory fields are marked with an *)*

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".

Section 1: Investor Identification

Investor Name*:____(the "**Entity**")

Country of Incorporation or Organisation: ____________________________________

Current Registered Address*:

Number: __________ Street: _______________________________________

City, Town, State, Province or County: _______________________________________

Postal/ZIPCode: ______________ Country: ________________________________

Mailing address (if different from above):

Number: ____________ Street: ____

City, Town, State, Province or County: ____

Postal/ZIPCode: ___________________ Country: ____

Section 2: FATCA Declaration Specified U.S. Person*:

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity **is** a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows (please also complete Sections 4 and 5):

U.S. TIN: ____ ☐

OR

b) The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5) ☐

OR

c) The Entity is a US Person but **not** a Specified U.S. Person (please also complete Sections 4 and 5) ☐

Indicate exemption: ____

Section 3: Entity's FATCA Classification* (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA :

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	*Irish Financial Institution* or a *Partner Jurisdiction Financial Institution*	
II.	*Registered Deemed Compliant Foreign Financial Institution*	
III.	*Participating Foreign Financial Institution*	

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)*

____

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	The Entity has not yet obtained a *GIIN* but is sponsored by another entity which does have a *GIIN* Please provide the sponsor's name and sponsor's *GIIN :* Sponsor's Name:________________________ Sponsor's *GIIN:*__________________________ ∞***NOTE:*** *this option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions*. Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a GIIN with the IRS unless and until U.S. reportable accounts are identified.	
II.	*Exempt Beneficial Owner* *Please tick and confirm the category of Exempt Beneficial Owner;* I. ☐ Government Entity II. ☐ International Organisation III. ☐ Foreign Central Bank IV. ☐ Exempt Retirement Fund V. ☐ Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners.	
III.	*Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution* under Annex II of the Agreement*)* *Indicate exemption:* ____	
IV.	*Non-Participating Foreign Financial Institution* *Indicate exemption:* ____	
V.	*Excepted Foreign Financial Institution*	
VI.	*Trustee Documented Trust. Please provide your Trustee's name and GIIN* *Trustee's Name:* ____ *Trustee's GIIN:* ____	

3.4 Non-Financial Institutions under FATCA:
If the Entity is not a Financial Institution, please tick one of the below categories

I.	*Active Non-Financial Foreign Entity*	
II.	*Passive Non-Financial Foreign Entity* *(Please tick the box that applies)* ***I. Passive Non-Financial Foreign Entity with no Controlling Persons that are specified U.S Persons.*** ☐ *II.* ***Passive Non-Foreign Financial Entity with Controlling Persons that are specified U.S*** ☐ ***Persons.*** *(If this box is ticked, please indicate the name of all natural Controlling Person(s) of the Entity in section 6.1 below and separately complete*	
III.	*Excepted Non-Financial Foreign Entity*	
IV.	*Direct Reporting NFFE* *Please provide your GIIN:* ____	

Section 4: CRS Declaration of Tax Residency (please note that you may choose more than one country)*
(Note that Entities may have more than one country of Tax Residence)

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence.
If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.
NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:
a) You are tax resident in a Jurisdiction that does not issue a (TIN)
Or
b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) and check box below

∞**If a TIN is unavailable**, please provide the appropriate reason **A, B** or **C where indicated below**:

☐ ***Reason A -*** The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

☐ ***Reason B -*** The Account Holder is otherwise unable to obtain a TIN *(Please explain why you are unable to obtain a TIN if you selected **Reason B**)*

____

☐ ***Reason C*** - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Section 5: Entity's CRS Classification*(the information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):
In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary.
http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314

5.1 Financial Institutions under CRS:
If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

Note: Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland. http://www.revenue.ie/en/business/aeoi/participating-jurisdictions.pdf

I.	*A Reporting Financial Institution resident in a participating CRS jurisdiction*	
II.	*A Financial Institution Resident in a Non-Participating Jurisdiction.* ☐ An *Investment Entity* resident in a *Non-Participating Jurisdiction* and managed by another *Financial Institution* (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification forms for each of your Controlling Persons") ☐ An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution ☐Other Financial Institution, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company	

III.	**Non-Reporting Financial Institution under CRS. Specify the type of Non-Reporting Financial Institution below:** ☐Governmental Entity ☐International Organization ☐Central Bank ☐Broad Participation Retirement Fund ☐Narrow Participation Retirement Fund ☐Pension Fund of a Governmental Entity, International Organization, or Central Bank ☐Exempt Collective Investment Vehicle ☐Trust whose trustee reports all required information with respect to all CRS Reportable Accounts ☐Qualified Credit Card Issuer ☐Other Entity defined under the domestic law as low risk of being used to evade tax. Specify the type provided in the domestic law: ____	

5.2 Non Financial Institutions under CRS:

If the Entity is a *not defined as a Financial Institution under CRS then*, please tick one of the below categories confirming if you are an Active or Passive NFE.

I.	*Active Non-Financial Entity* – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation *Please provide the name if the established securities market on which the corporation is regularly traded:* ____	
II.	***Active Non-Financial Entity*** *– if you are a Related Entity of a regularly traded corporation.* *Please provide the name of the regularly traded corporation that the Entity is a Related Entity of:* ____ *Please provide details of the securities market that the entity is listed on :* ____	
III.	*Active Non-Financial Entity* – a Government Entity or Central Bank	
IV.	*Active Non-Financial Entity* – an International Organisation	
V.	*Active Non-Financial Entity* – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
VI.	*Passive Non-Financial Entity* (If this box is ticked, please also complete Section 6.1 below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s)	

**** Controlling Persons:**
NB: Please note that each Controlling Person must complete a separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on identification requirements under CRS for Controlling Persons, see the Commentary to Section VII of the CRS Standard *http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314*

Section 6: Controlling Persons

6.1 Name of All Controlling Person(s) of the Account Holder:

If you have ticked sections 5.1 VI above, then please complete section 6.1 and 6.2 below:

Indicate the name of all Controlling Person(s) of the Account Holder:

I.	
II.	
III.	

Note: In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

6.2 Complete a separate Individual (Controlling Person's) Self-Certification for FATCA and CRS for each Controlling Person listed in Section

Section 7: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*:

__________________________________ __________________________________

Print Name(s)*:

__________________________________ __________________________________

Capacity in which declaration is made*:

__________________________________ __________________________________

Date: (dd/mm/yyyy):* _________/_________/_________

8. FATCA & CRS - Individual (Controlling Persons) Self-Certification

Individual (Controlling Persons) Self-Certification for FATCA and CRS

Instructions for completion and Data Protection Notice

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the Data Protection Acts, 1988 - 2018 of Ireland. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund, with Revenue, the Irish tax authority. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Revenue Commissioner's website at: http://www.revenue.ie/en/business/aeoi/index.html or the following link to the OECD CRS Information Portal at: http://www.oecd.org/tax/automatic-exchange/ in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

Section 1, 2, 3 and 5 must be completed by all investors

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. *For further guidance see: http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314*

(Mandatory fields are marked with an *)

Section 1: Investor/Controlling Person Identification

Investor Name*: ______________________________

Current Residential Address*:

Number: ____________ Street: ______________________________

City, Town, State, Province or County: ______________________________

Postal/ZIP Code: ________________ Country: ______________________________

Mailing address (if different from above):

Number: ____________ Street: ____

City, Town, State, Province or County: ______________________________________

Postal/ZIP Code: ____________________ Country: ______________________________

Place and Date Of Birth*

Town or City of Birth*: __________________________ Country of Birth*: ______________________

Date of Birth*: _________/_________/_________

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*:

Please tick either (a) **or** (b) and complete as appropriate.

(a) ☐ I confirm that [**I am**] /[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

____

OR

(b) ☐ I confirm that [**I am not**]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may choose more than one country)*

Please indicate your/the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number	∞ If TIN unavailable Select (A, B or C) and check box below

∞**If a TIN is unavailable**, please provide the appropriate reason **A, B** or **C where indicated below**:

☐ ***Reason A -*** The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

☐ ***Reason B -*** No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

☐ ***Reason C -*** The Account Holder is otherwise unable to obtain a TIN *(Please explain why you are unable to obtain a TIN if you selected* ***Reason C****)* ______________________________________
____

Section 4 – Type of Controlling Person

(ONLY to be completed by an individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For Joint or multiple Controlling Persons please use a separate Self-Certification form for each Controlling Person

Please Confirm the type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box	**Please Tick**	**Entity Name**
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust – settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection - Customer Information Notice:

The Common Reporting Standard ("CRS"), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information ("AEoI"), developed in the context of the Organisation for Economic Co-operation and Development ("OECD").

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: http://oecd.org/tax/automatic-exchange/ and on the Irish Revenue website - http://www.revenue.ie/en/business/aeoi/)

Authorised Signature*: ____

Print Name*: ____

Date: (dd/mm/yyyy)*: _________/_________/_________

Capacity*: ____

9. Source of Wealth Declaration

SOURCE OF WEALTH DECLARATION - Individual

All information provided in this document will remain strictly confidential

Document to be returned by post as original

Name and First Name:	
Country of Residence:	
Occupation:	Please provide a precise description of activity *:* *(as example: employee of post office, manager of bank, restaurant owner, car salesman…)* Profession:________________________________ *If retired or unemployed, please indicate former profession:* Retired: ___________________________________ Unemployed:_______________________________
I declare:	☐ I am the ultimate beneficial owner of the monies invested ………………………………………………………… ☐ I am not the ultimate beneficial owner of the monies invested …………………………………………………………………………………… *(a certified ID/Passport copy of this person is to be sent with this declaration)*
I further declare:	The monies invested do not originate from criminal activity, of whatever nature, and in particular do not constitute the proceeds of money laundering. Any profits derived from my investment will not be used for terrorism financing.
I declare that the monies invested do not come from a third party and is drawn from my own bank account. These funds originate from the following source:	☐ Salary savings ☐ Inheritance* ☐ Real Estate Sale *(please specify*)*: ____ ☐Gift *(Please specify)*:* ____ ☐ Other* *(please add a precise source)*: ____

**Please be advised, that Société Générale Securities Services, SGSS (Ireland) Limited in their capacity as Registrar and Transfer Agent may request additional information if necessary.*

Signature……………………………..……………………………………………………….

Date ………………………………………..

TYCHO ICAV
Application Form for Tycho CapeView European Long Short Fund

SOURCE OF WEALTH DECLARATION - Company

All information provided in this document will remain strictly confidential

Completed form to be returned by post as original

Name of Company Representative:	
Country of Residence of Representative:	
Company Name:	
Commercial Register No. of Company:	
Issuing Authority:	
Position of Company Representative:	*As Company Representative please state your position.*
I, as Company Representative declare:	☐ The represented Company is the ultimate beneficial owner of the monies invested ☐ The represented Company is not the ultimate beneficial owner of the monies invested, which is *(please specify*)*:
I, as Company Representative further declare:	The monies invested do not originate from criminal activity, of whatever nature, and in particular do not constitute the proceeds of money laundering. Any profits derived from my investment will not be used for terrorism financing.
I, as Company Representative declare that the monies invested are drawn from the Company's bank account and do not come from a third party. These funds originate from the following:	☐ Company's funds ☐ Other *(Please specify*):*

**Please be advised, that Société Générale Securities Services, SGSS (Ireland) Limited in their capacity as Registrar and Transfer Agent may request additional information if necessary.*

Signature of Company Representative……..…………………………………………………………….

Date ……………………………………………

10. Return of Values (Investment Undertakings) Regulations 2013 (for Irish resident investors only)

Pursuant to the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013) (the "Return Regulations"), the Sub-Fund is required to collect certain information from certain Irish residents. All Applicants, whether individuals, bodies corporate or unincorporated bodies of persons, which are Irish resident or ordinarily resident should review the list of Exempt Irish Investors in the Prospectus. If the Applicant is Irish resident or ordinarily resident and is not an Exempt Irish Investor, please provide the relevant Tax Identification Number (TIN) / PPS Number together with any one original or copy of the following additional documents: P60, P45, P21 Balancing Statement, Payslip (where employer is identified by name or tax number), Drug Payment Scheme Card, Tax Assessment, Tax Return Form, PAYE Notice of Tax Credits, Child Benefit Award Letter / Book, Pension book, Social Services Card, or Public Services Card. In addition, printed documentation issued by the Irish Revenue Commissioners or the Department of Social Protection which includes the Applicant's name, address and tax reference number is also acceptable. In the case of joint account holders, the additional documentation is required for each Applicant. By signing this Application Form the Applicant acknowledges that information relating to its investment in Shares in the Sub-Fund, the value of such investment and its name(s), address(es) and tax reference / PPS number may be subject to disclosure to the Revenue Commissioners in accordance with the Return Regulations.

11. Applicant Signatures *Please sign*

Checklist prior to submission of the Account Opening Form to the Administrator (please tick (√) when complete where applicable)	
Section 1: Are all registration details completed?	
Section 1: Are all registration details completed in relation to an authorised contactee/agent?	
Section 2: Have all details been completed to include the amount of shares in figures and words?	
Section 3: Are all bank account details provided?	
Section 3: Have you chosen the desired dividend option and provided supporting evidence?	
Section 4: Have you completed the Declaration of residency form completed and attached all relevant documentation?	
Section 5: Have you completed the Identity verification section fully?	
Section 6: Have you read and understood the Representations & Warranties section? Have you signed it?	
Section 7: Have you completed the FATCA & CRS form if completing on behalf of an entity?	
Section 8: Have you completed the FATCA & CRS form if you are an individual investor or on behalf of a controlling person?	
Section 9: Have you completed the Source of Wealth Declaration?	
Section 10: Have you completed the Return of values (investment undertakings) section fully? (Irish resident investors only)	
Section 11: Have you fully signed and dated this Application Form?	
If applicable, have you attached supporting evidence for anti-money laundering (as outlined in appendices)?	

I/We agree to be bound by the representations and statements set out in this Application Form. If applicable, attach an authorised signatory list of those entitled to instruct on this investment.

Signature	**Joint Applicant (if applicable) Signature**
Date	**Date**